



Finanziato
dall'Unione europea
NextGenerationEU



Ministero
dell'Università
e della Ricerca



Italiadomani
PRESIDENZA



Consiglio Nazionale
delle Ricerche



Ministero
dell'Università
e della Ricerca



Consiglio Nazionale
delle Ricerche

Energy conversion and dissipation in nearly-collisionless, turbulent space and astrophysical plasmas

Oreste Pezzi

Institute for Plasma Science and Technology (ISTP/CNR)

c/o ISM ArToV Rome (Italy)

oreste.pezzi@istp.cnr.it



Energy conversion and dissipation in nearly-collisionless, turbulent space and astrophysical plasmas

Oreste Pezzi

Institute for Plasma Science and Technology (ISTP/CNR)

c/o ISM ArToV Rome (Italy)

oreste.pezzi@istp.cnr.it



People and projects acknowledgements

Results here collected have been obtained thanks to vivid and friendly scientific collaborations, also formalized through funded research projects.

- ✓ **PRIN 2022** *“The Ultimate fate of Turbulence from space to laboratory plasmas (ULTRA)”*, with ASI and UNICAL
- ✓ **Bilateral Project CNR & UK Royal Society** *Multi-scale Electrostatic energization of plasmas: Comparison of Collective Processes in Laboratory and Space*, led together with Prof. D. Verscharen
- ✓ **ISSI Bern International team** *“Unveiling Energy Conversion and Dissipation in Non-Equilibrium Space Plasmas”*, led together with Prof. P. Cassak (Clemson University):
~20 researchers from >10 nations working on these topics through three funded one-week workshops
- ✓ **FIS2 Starting Grant** *“PhAse-sPAce cOmplexity in turbulent nearly-reversible plasmas (PAPAO)”*

Main collaborators:

Italy: L. Sorriso-Valvo & M. Zuin (CNR), S. Servidio and F. Valentini (UNICAL), D. Perrone (ASI), S. Benella (INAF), F. Califano (UNIPi)

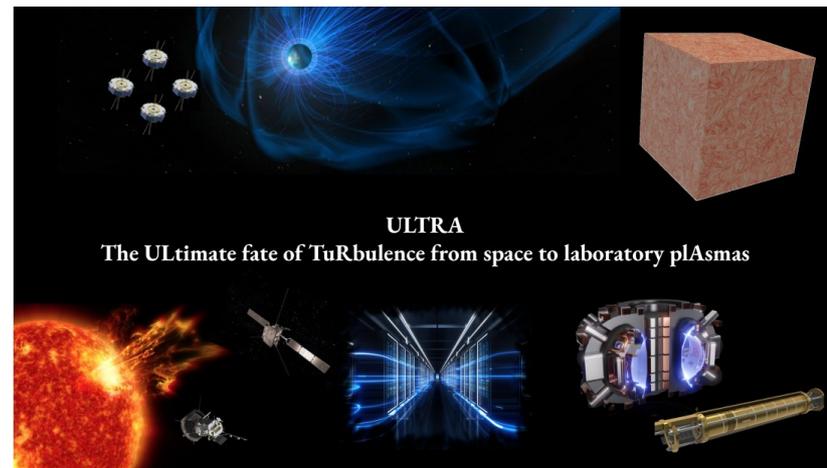
UK: D. Verscharen (UCL), J. Stawarz (Northumbria)

France: S. Cerri, A. Retino' and G. Cozzani (CNRS)

Sweden: E. Yordanova and L. Richard (IRF)

Spain: D. Trotta (ESAC)

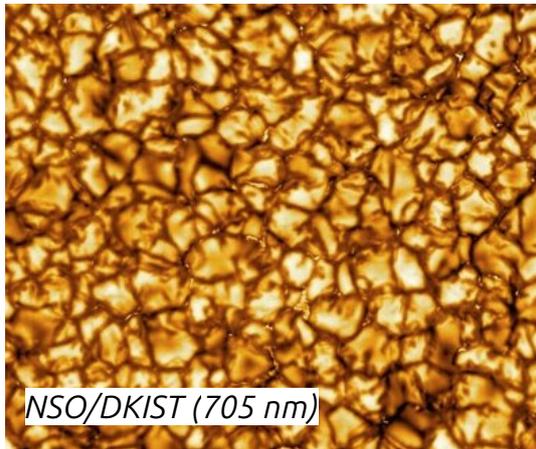
US: P. Cassak (Clemson), W.H. Matthaeus (Delaware), A. Chasapis (Boulder)



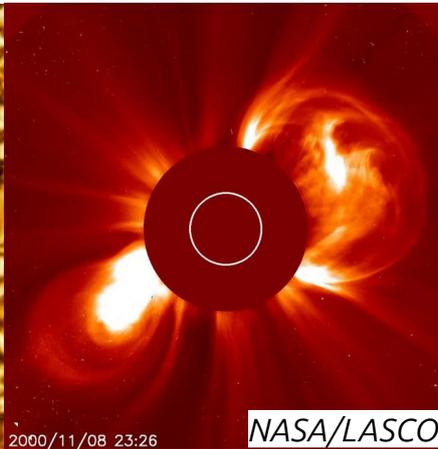
- **Introduction:**
 - ✓ Turbulence in space and astrophysical plasmas
 - ✓ The “issue” of weak collisionality: plasma dynamics in the entire six-dimensional phase space
 - ✓ Kinetic-scale turbulence and its links with energy conversion and dissipation, plasma heating, and particle energization
 - ✓ Main methodologies: in-situ observations and numerical simulations
- **An emergent level of complexity: plasma turbulence in phase space**
 - ✓ Theoretical predictions and overview of previous results
 - ✓ Latest outcomes: in-situ spacecraft probes and kinetic Vlasov-Maxwell simulations
- **Irreversibility in nearly-reversible plasmas**
 - ✓ Inter-particle collisions and “friends”
 - ✓ Collisionality enhancement due to fine velocity-space structures
 - ✓ Kinetic Boltzmann-Maxwell simulations
- **Conclusions and future efforts**

Plasma turbulence... across the Universe

- The “**Plasma Universe**”: nearly all the visible matter in the Universe is a plasma!
- **Turbulence** is the key phenomenon bridging the vast scale separation between injection and “dissipative” scales



Solar granulation
 $l \sim 1000 \text{ km}$ $\tau \sim 5\text{-}10 \text{ min}$



Coronal mass ejection
 $v_{\text{CME}} \sim 200 - 2000 \text{ km/s}$
 $M_{\text{CME}} \sim 10^{12} \text{ kg}$



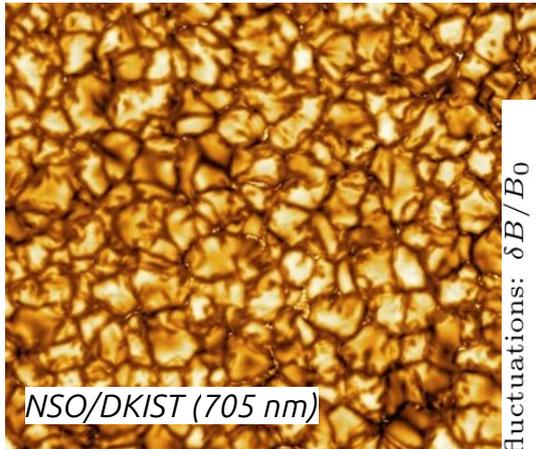
Protostellar cloud (Carina nebula)
 $l \sim 80 \text{ pc}$
Stirring driven by stellar winds and SN explosions.



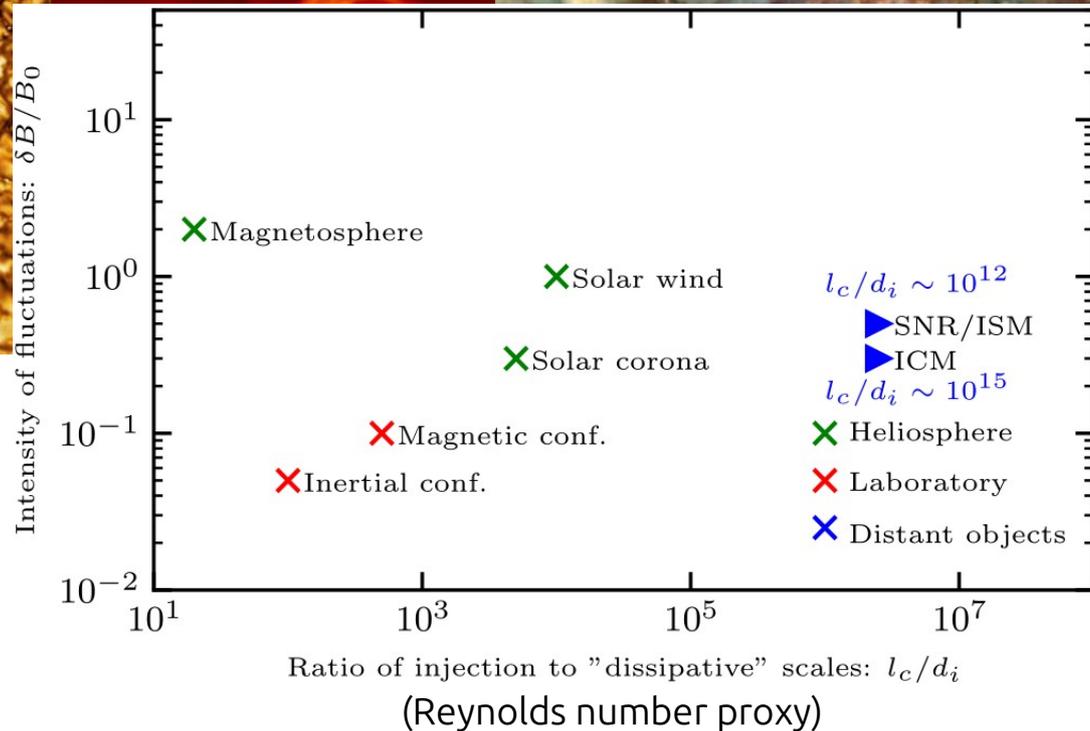
Relativistic MHD turbulence thought to occur near black holes

Plasma turbulence... across the Universe

- The “**Plasma Universe**”: nearly all the visible matter in the Universe is a plasma!
- **Turbulence** is the key phenomenon bridging the vast scale separation between injection and “dissipative” scales



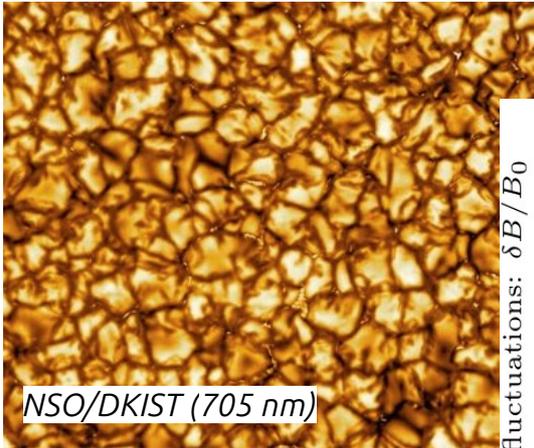
Solar granulation
 $l \sim 1000 \text{ km}$ $\tau \sim 5\text{-}10 \text{ min}$



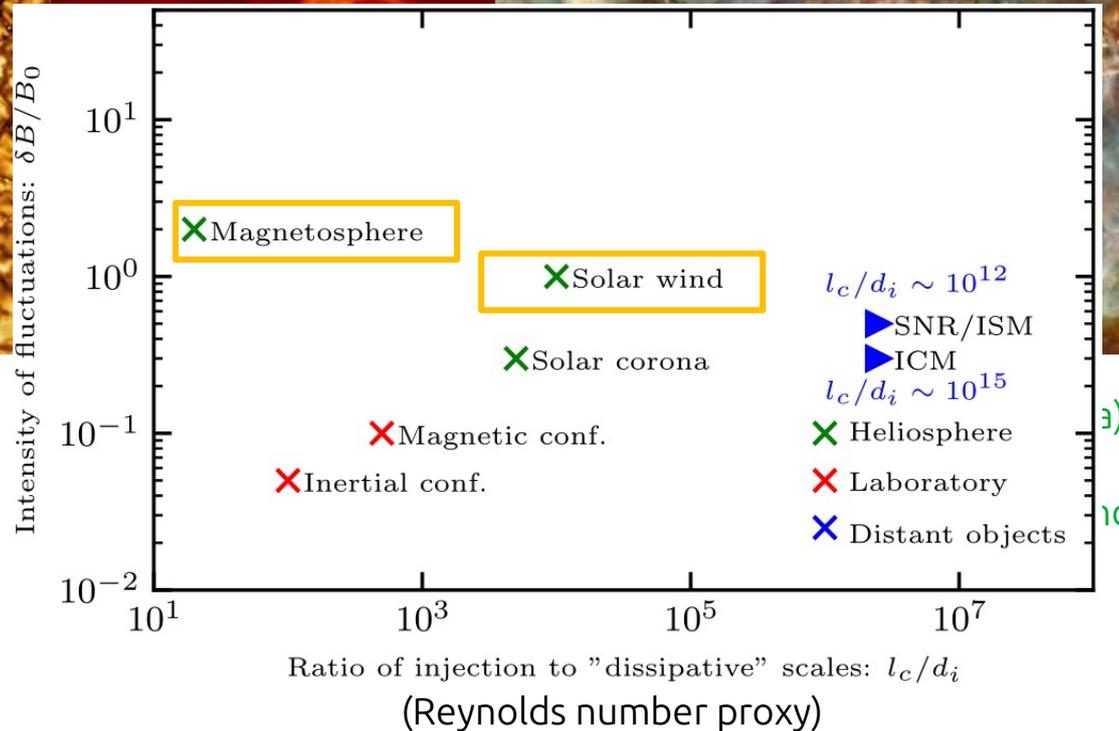
Relativistic MHD
turbulence thought
to occur near black
holes

Plasma turbulence... across the Universe

- The “**Plasma Universe**”: nearly all the visible matter in the Universe is a plasma!
- **Turbulence** is the key phenomenon bridging the vast scale separation between injection and “dissipative” scales



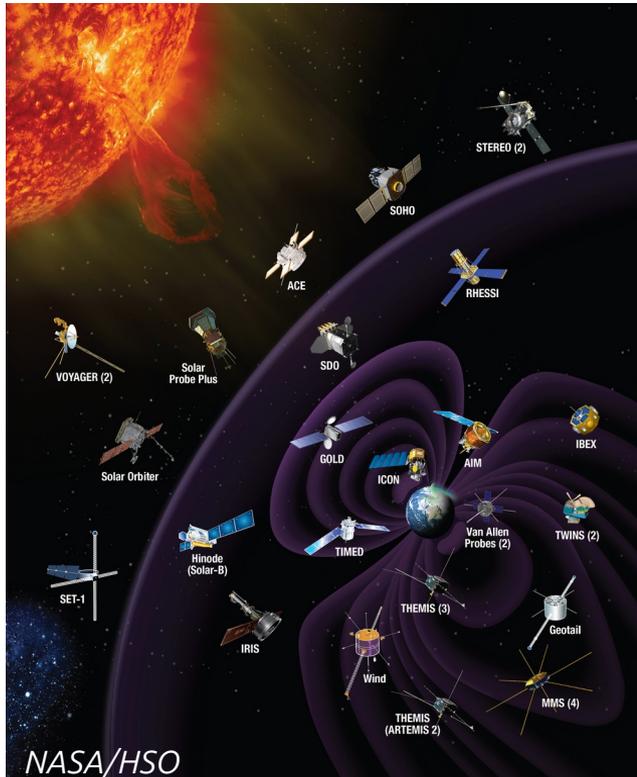
Solar granulation
 $l \sim 1000 \text{ km}$ $\tau \sim 5\text{-}10 \text{ min}$



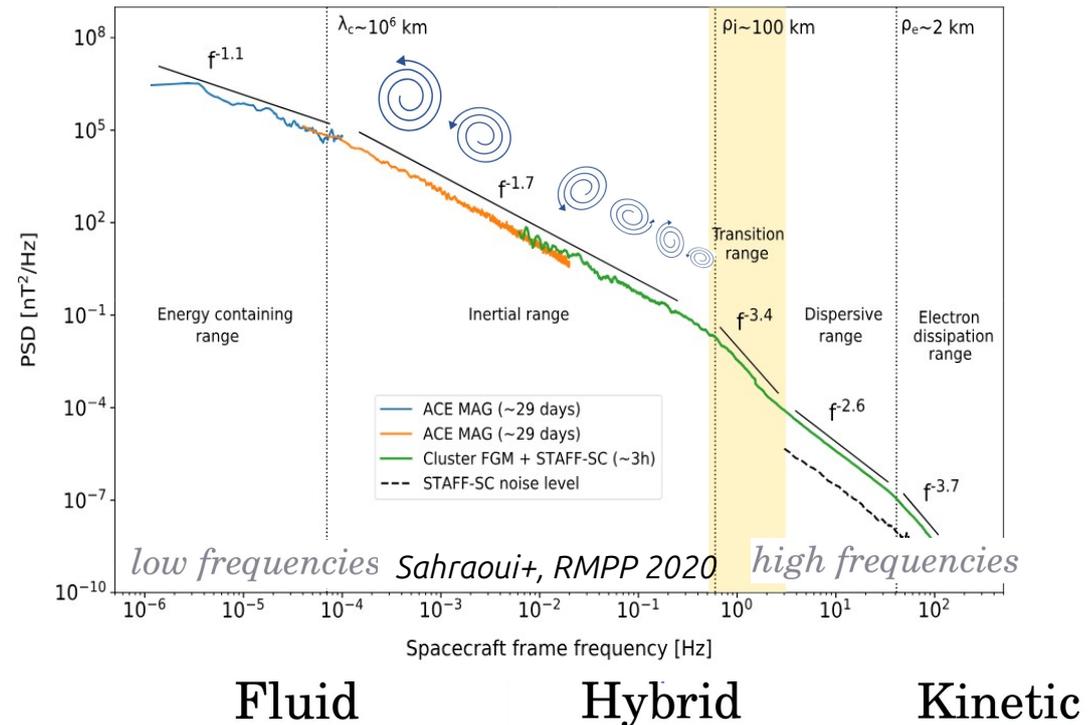
Relativistic MHD
turbulence thought
to occur near black
holes

The heliosphere: an excellent lab for plasma physics

- Thanks to the possibility of **probing in situ the electromagnetic field and the plasma variables**, space plasmas represent an excellent lab for investigating fundamental mechanisms, such as turbulence, occurring in space and astrophysical plasmas.
- The synergy with numerical simulations is decisive to interpret in-situ observations.

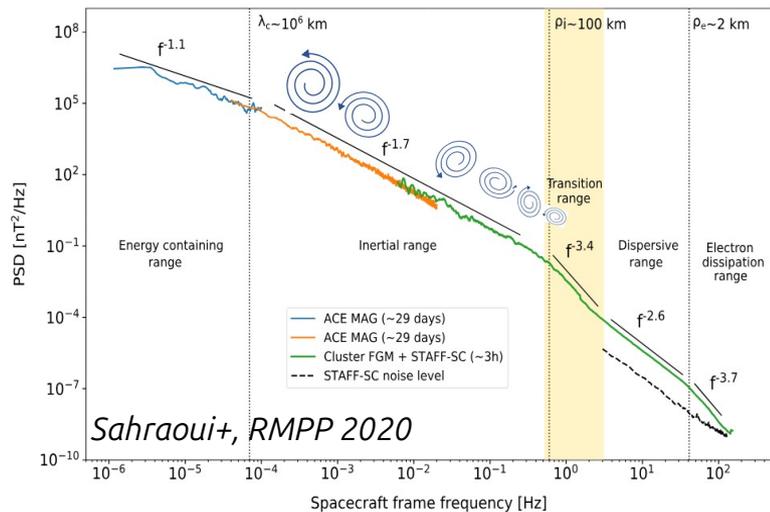


NASA/HSO
Heliospheric flotilla

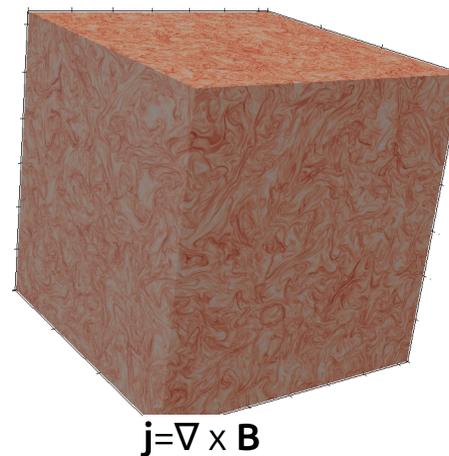


Turbulence in collisional flows (neutral or charged) and space and astrophysical plasmas show **important similarities**

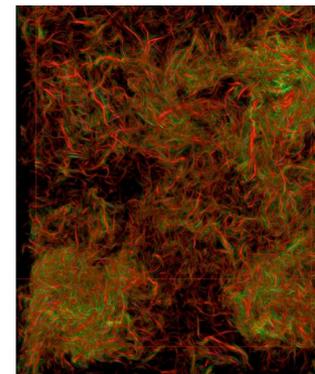
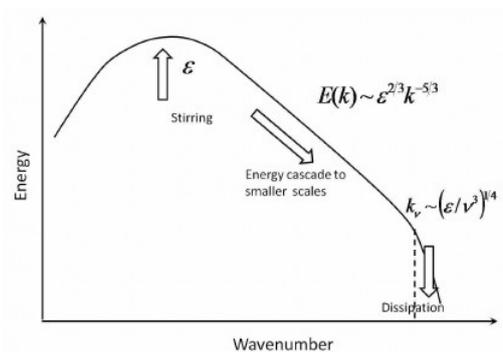
CROSS-SCALE ENERGY TRANSFER



INTERMITTENCY/COHERENT STRUCTURES



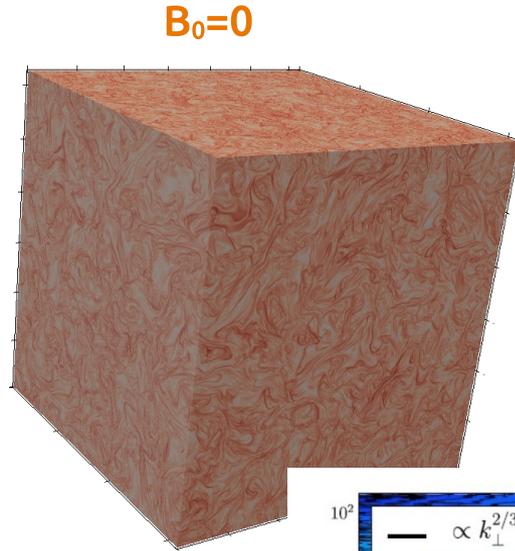
Pezzi et al. ApJ 2022



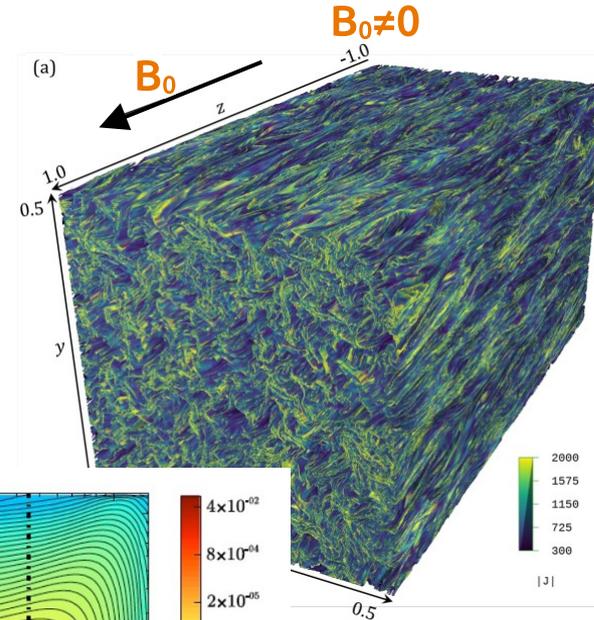
JH Turb. database

Vorticity $\nabla \times \mathbf{u}$

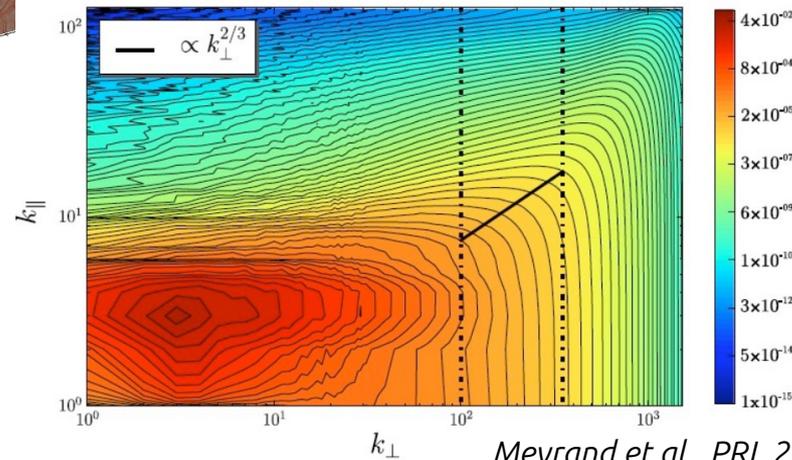
The ordered (background) magnetic field induces an **anisotropic cascade**



ezzi et al. ApJ 2022

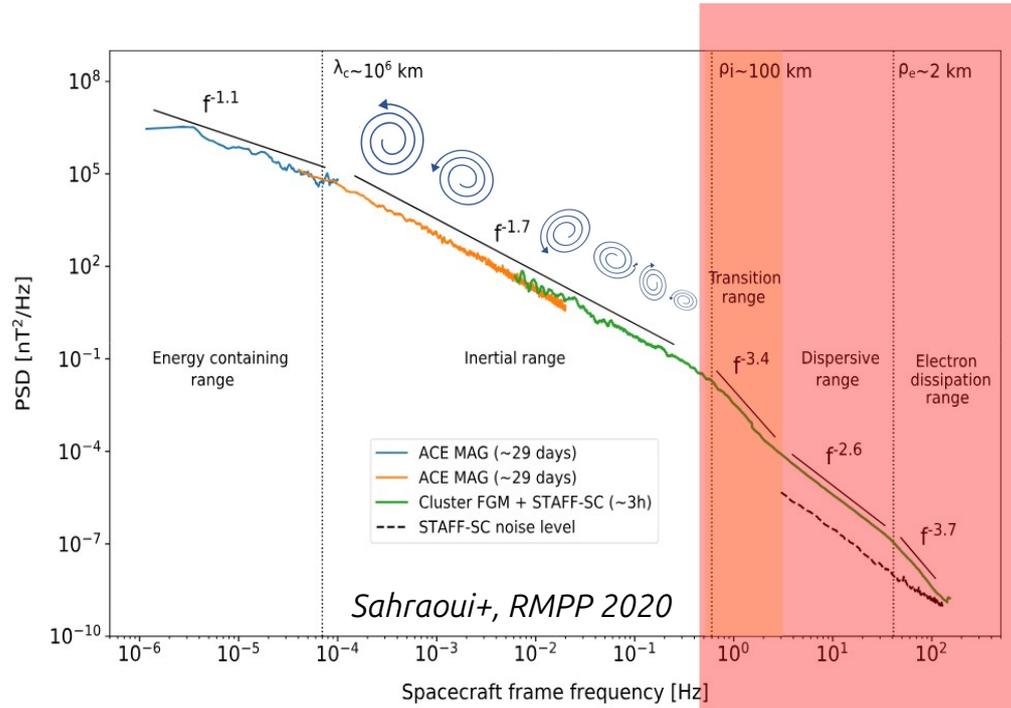


Dong et al., Sci Adv 2022

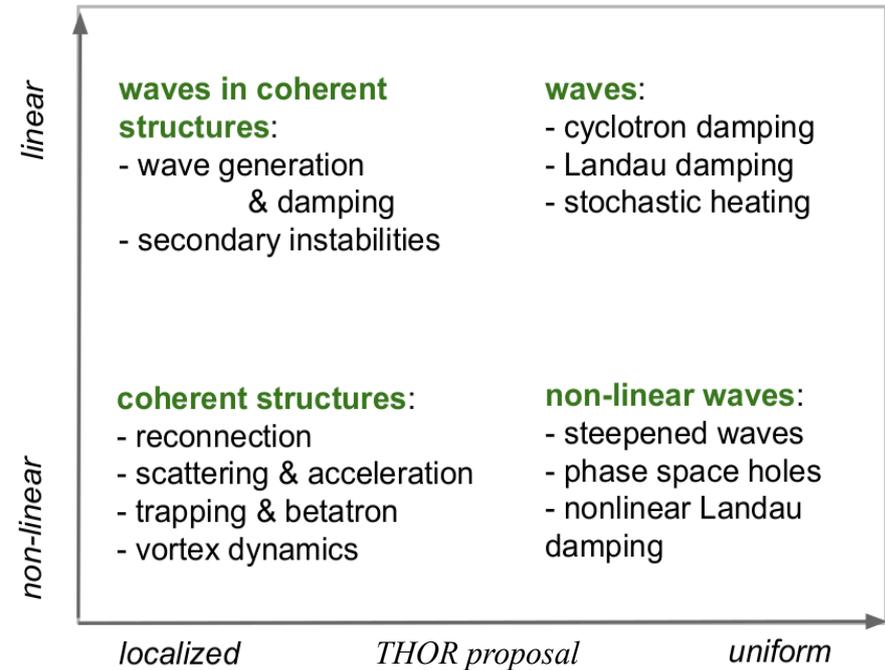


Meyrand et al., PRL 2016

Inter-particle collisions, responsible for dissipation in collisional flows, are very weak in space and astrophysical plasmas: the dissipation function cannot be modeled through viscous-resistive effects!

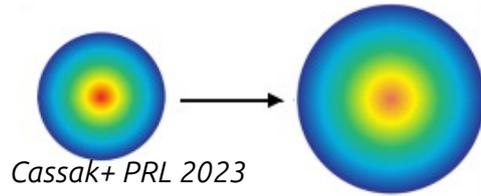


Energy conversion is mediated by diverse plasma phenomena and generally occurs in **entire phase space**.

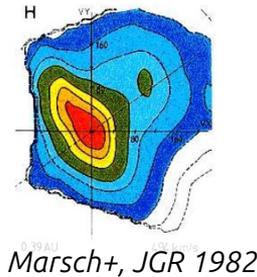


Different perspectives in the community:

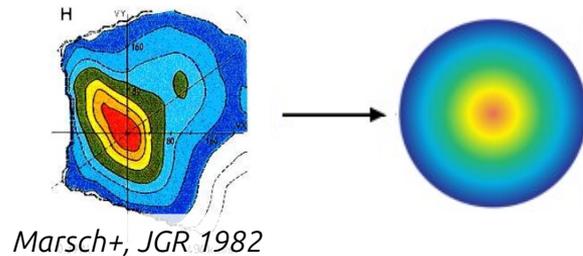
a) Thermal energy conversion mechanisms:



b) Non-LTE conversion leading to non-equilibrium VDFs:

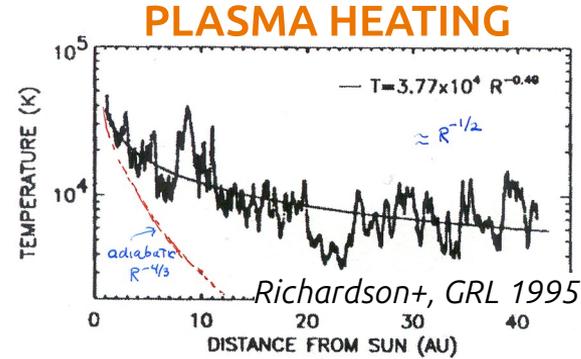
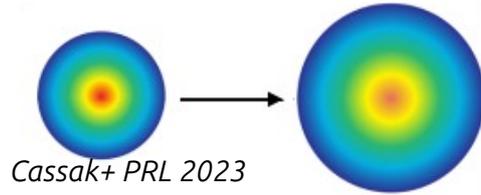


c) Irreversible dissipation:

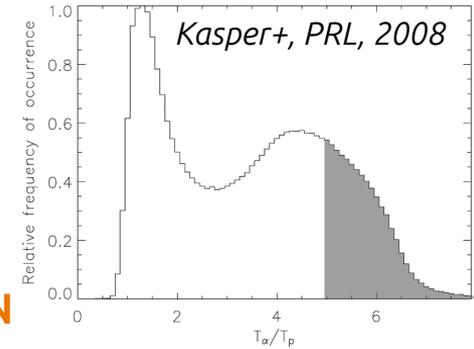


Different perspectives in the community:

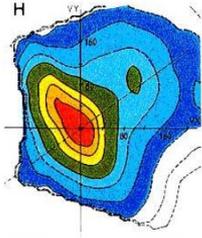
a) Thermal energy conversion mechanisms:



ENERGY PARTITION

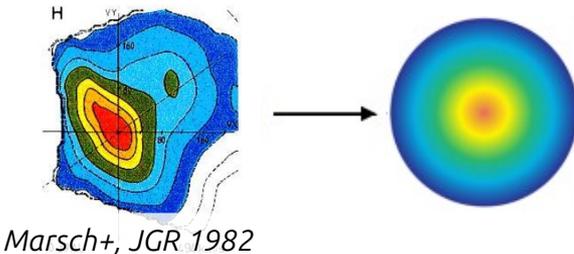


b) Non-LTE conversion leading to non-equilibrium VDFs:

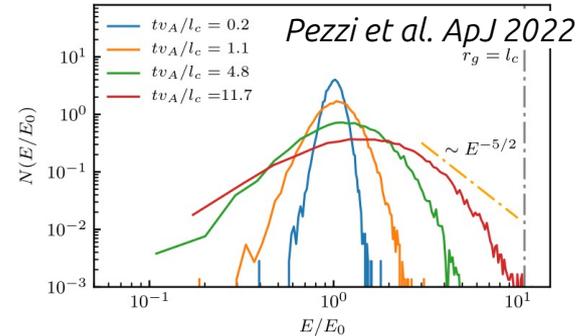


Marsch+, JGR 1982

c) Irreversible dissipation:

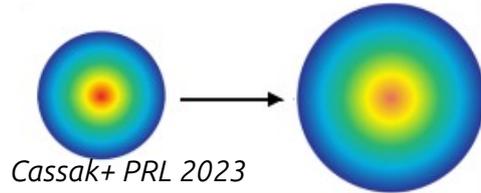


PARTICLE ENERGIZATION

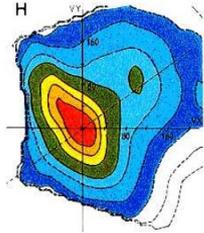


Different perspectives in the community:

a) Thermal energy conversion mechanisms:

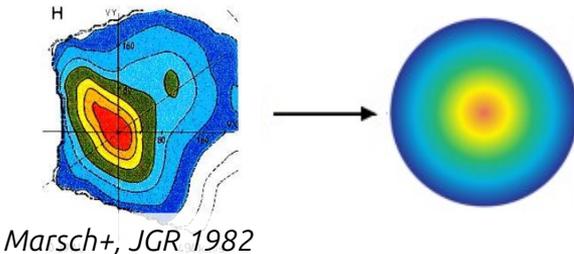


b) Non-LTE conversion leading to non-equilibrium VDFs:

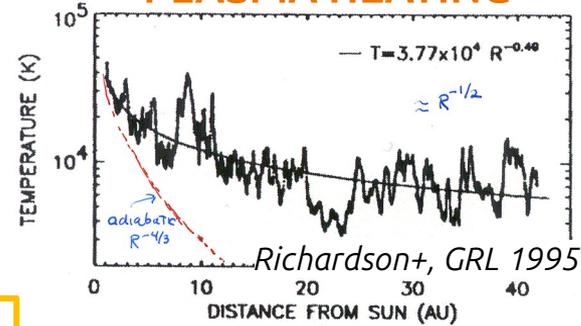


Marsch+, JGR 1982

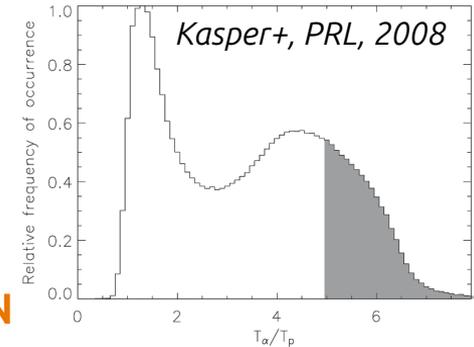
c) Irreversible dissipation:



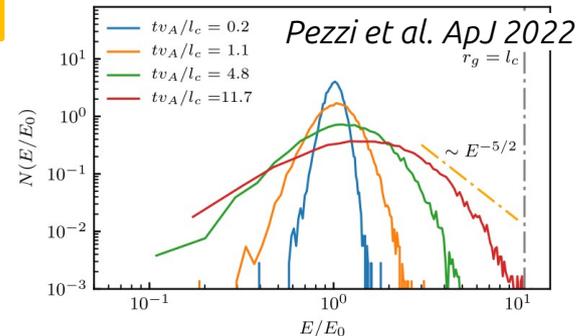
PLASMA HEATING



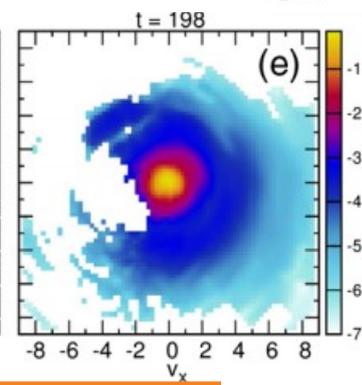
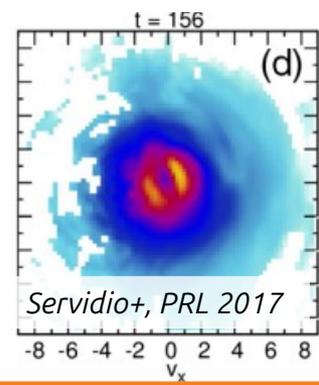
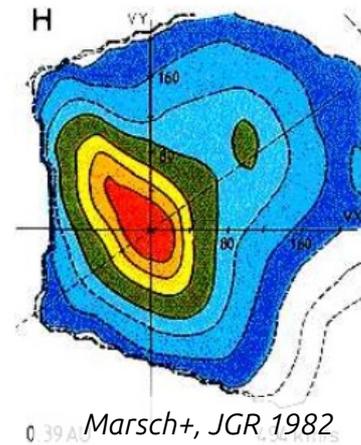
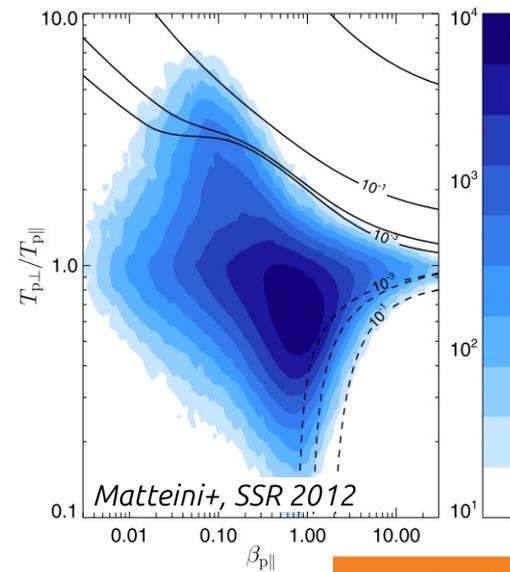
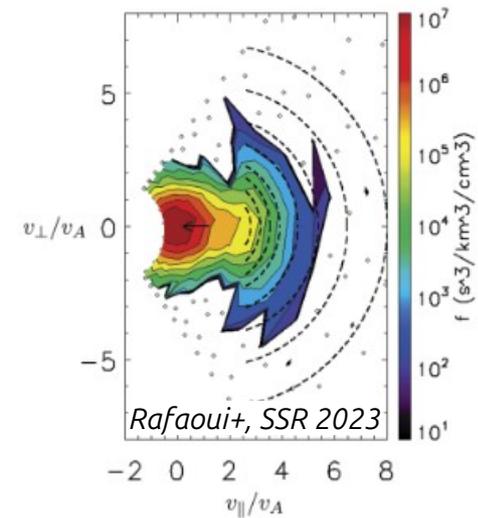
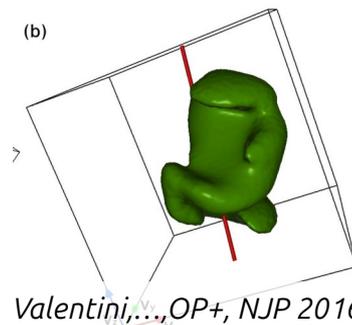
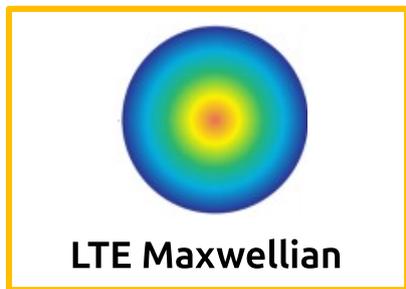
ENERGY PARTITION



PARTICLE ENERGIZATION



LTE plasmas are luckily a rarity in nearly-reversible space and astrophysical plasmas. We routinely deal with non-LTE plasmas showing distorted non-equilibrium velocity distribution functions (VDFs).

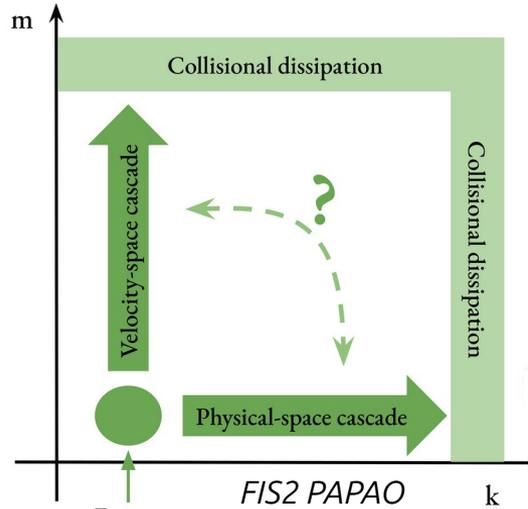
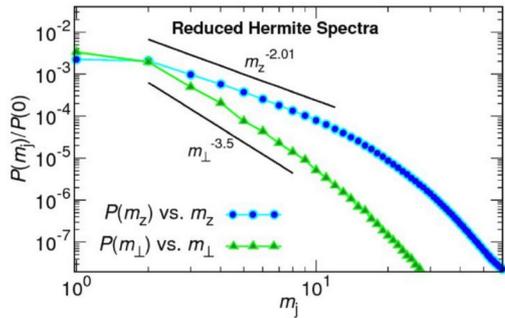


Exploring velocity space is the next frontier in kinetic heliophysics!

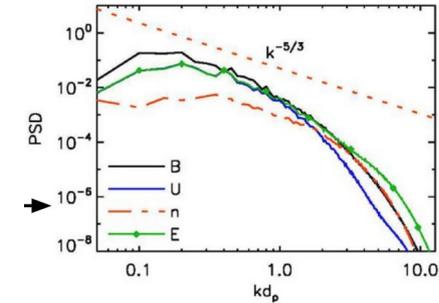
Phase-space plasma turbulence

The production of non-LTE **velocity-space structures** is interpreted as a **turbulent cascade**.
 At small phase-space scales, **collisions irreversibly dissipate energy**.

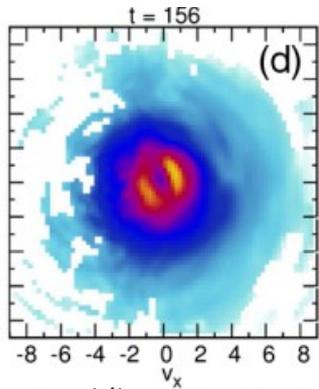
VELOCITY SPACE



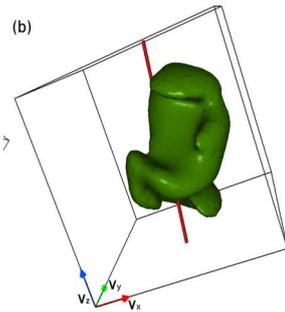
PHYSICAL SPACE



$B(\mathbf{r}, t)$
+
Fourier



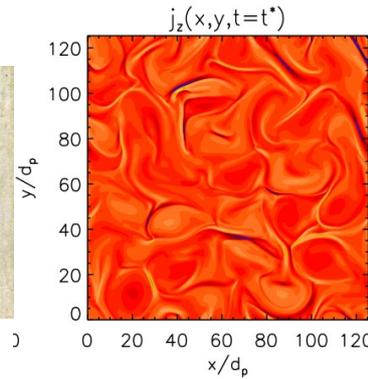
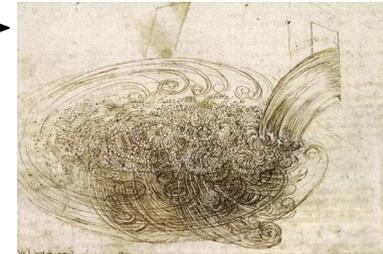
Servidio+, PRL 2017



Valentini,...Pezzi+, NJP 2016

FIS2 PAPA0 proposal

Pezzi+ PRL 2016, PoP 2018, ApJ 2019, MNRAS 2021, SSR 2021



An efficient tool to explore cascades in velocity space is the Hermite decomposition of the particle VDF.

“Energy” associated with different Hermite modes (different velocity-space perturbations with respect to the Maxwellian equilibrium shape) cascades from small to large m (Hermite modes)

$$f(\mathbf{v}) = \sum_m f_m \psi_m(\mathbf{v}) \iff \begin{cases} f_m = \int_{-\infty}^{+\infty} f(\mathbf{v}) \psi_m(\mathbf{v}) d^3 v \\ \psi_m(\mathbf{v}) = \frac{H_m\left(\frac{\mathbf{v}-\mathbf{u}}{v_{th}}\right)}{\sqrt{2^m m!} \sqrt{\pi} v_{th}} e^{-\frac{(\mathbf{v}-\mathbf{u})^2}{2v_{th}^2}} \end{cases}$$

$\{\psi_m\}$ is an orthonormal basis built through Hermite polynomials

Enstrophy (or free-energy)

$$\Omega(\mathbf{x}) \equiv \int_{-\infty}^{\infty} \delta f^2(\mathbf{x}, \mathbf{v}) d^3 v = \sum_{m>0} [f_m(\mathbf{x})]^2$$

Servidio+, PRL 2017

$$\mathcal{F} = - \sum_s T_s \delta S_s = \sum_s T_s \delta \int d^3 \mathbf{v} \langle f_s \ln f_s \rangle = \sum_s \int d^3 \mathbf{v} \frac{T_s \langle \delta f_s^2 \rangle}{2F_{Ms}}$$

Schekochihin et al., JPP 2016

In absence of collisions, the enstrophy is preserved in the (phase-space) cascade process!

Phase-space plasma turbulence

Theoretical efforts:

Reduced frameworks (gyrokinetics, drift-kinetic):

Tatsuno+ PRL 2009; Schekochihin+ JPP 2016; Adkins+ JPP 2018

Kolmogorov-like full-Vlasov phenomenological theories:

Servidio+ PRL 2017

Simulations efforts:

Pezzi+, POP 2018, ApJ 2019, SSR 2021, Cerri+ ApJ 2018, 2021,

Meyrand+ PNAS 2019; Celebre+ PoP 2023; Nastac+ PRE 2024

Observational efforts:

MMS: *Servidio+, PRL 2017*

Solar Orbiter: *Wu+ ApJ 2023*

PSP: *Bowen+ PRL 2022; Larosa, Pezzi+, ApJL 2025*

$$C_m = \frac{A(k_{\parallel})}{\sqrt{m}} e^{-(m/m_c)^{3/2}}, \quad m_c = \left(\frac{3|k_{\parallel}|v_{th}}{2\sqrt{2}\nu} \right)^{2/3}$$

Schekochihin+, JPP 2016

$$P(m) \sim m^{-2}$$

(very magnetized)

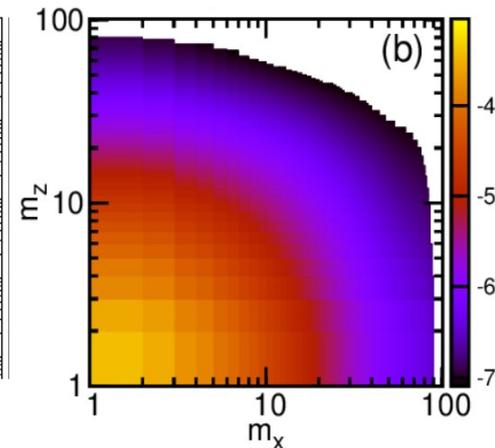
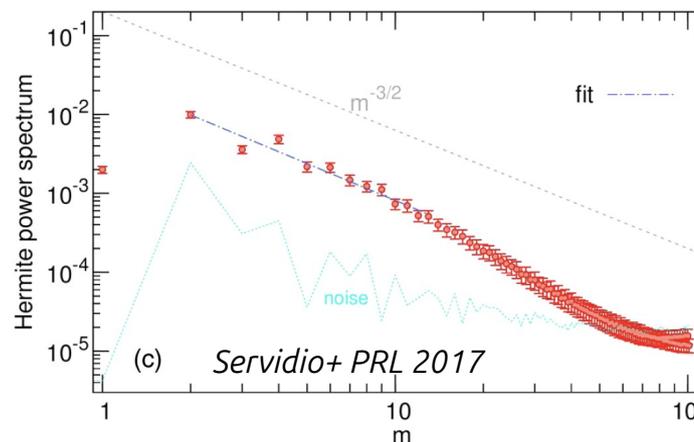
or

$$P(m) \sim m^{-3/2}$$

(Dominated by advection or electric field)

Servidio+, PRL 2017

HERMITE POWER SPECTRUM



Phase-space plasma turbulence

Theoretical efforts:

Reduced frameworks (gyrokinetics, drift-kinetic):

Tatsuno+ PRL 2009; Schekochihin+ JPP 2016; Adkins+ JPP 2018

Kolmogorov-like full-Vlasov phenomenological theories:

Servidio+ PRL 2017

Simulations efforts:

Pezzi+, POP 2018, ApJ 2019, SSR 2021, Cerri+ ApJ 2018, 2021,

Meyrand+ PNAS 2019; Celebre+ PoP 2023; Nastac+ PRE 2024

Observational efforts:

MMS: *Servidio+, PRL 2017*

Solar Orbiter: *Wu+ ApJ 2023*

PSP: *Bowen+ PRL 2022; Larosa, Pezzi+, ApJL 2025*

$$C_m = \frac{A(k_{\parallel})}{\sqrt{m}} e^{-(m/m_c)^{3/2}}, \quad m_c = \left(\frac{3|k_{\parallel}|v_{th}}{2\sqrt{2}\nu} \right)^{2/3}$$

Schekochihin+, JPP 2016

$$P(m) \sim m^{-2}$$

(very magnetized)

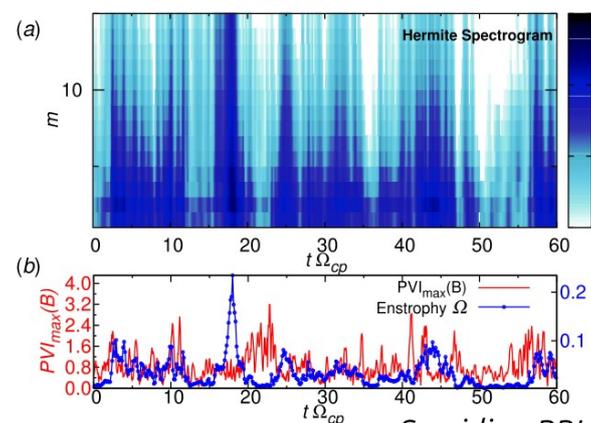
or

$$P(m) \sim m^{-3/2}$$

(Dominated by advection or electric field)

Servidio+, PRL 2017

HERMITE SPECTROGRAM



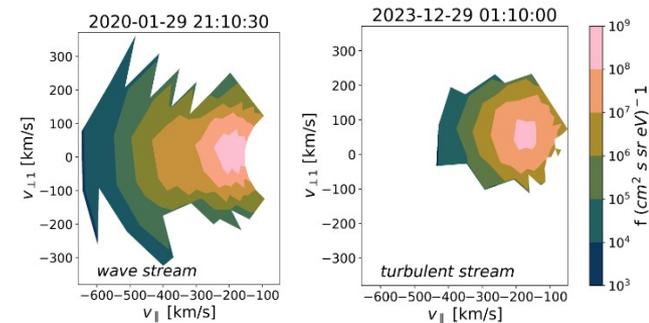
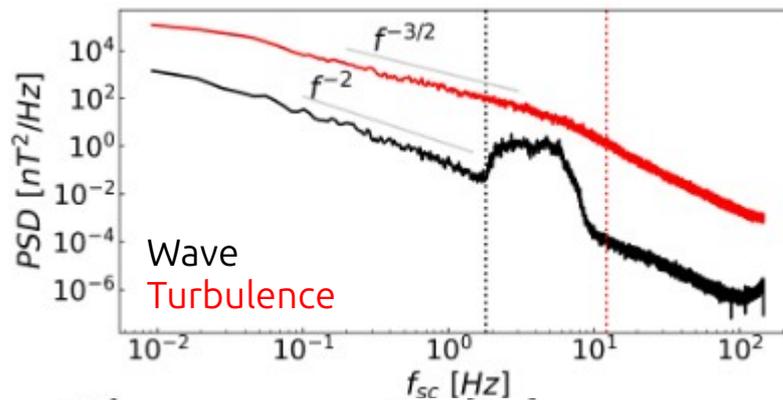
Servidio+ PRL 2017

The near-Sun solar wind: phase-space turbulence

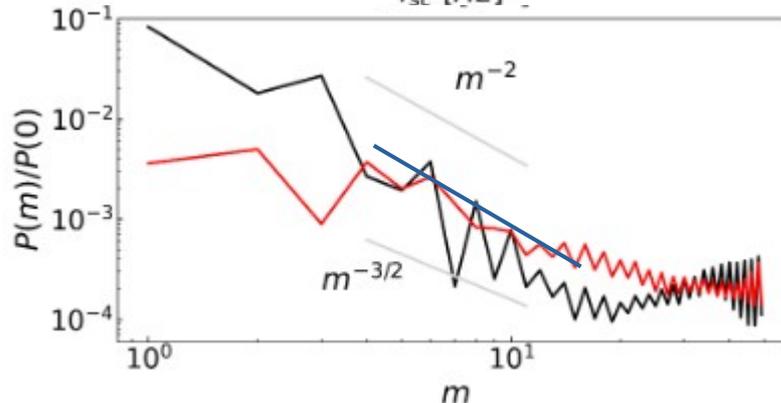
We provided the first observations of dual phase-space cascade in the near-Sun solar wind, by focusing on two intervals:

- **Wave** (\parallel sampling): wave activity at ion scales and hammerhead distribution (beam at large speeds)
- **Turbulent** (\perp sampling): classical turbulence phenomenology and complex VDFs with small-scale distortions

Quantity	wave	turbulent
B_{rms} [nT]	9.83	95.81
$B_{rms}/\langle B \rangle$	0.08	0.12
$\langle \beta_p \rangle$	0.6	0.1
$\langle \theta_{BV} \rangle^\circ$	168	116
$\langle \sigma_c \rangle$	0.69	0.79
R [R_{sun}]	28.0	11.4
M_A	2.6	0.5
f_c [Hz]	1.8	12

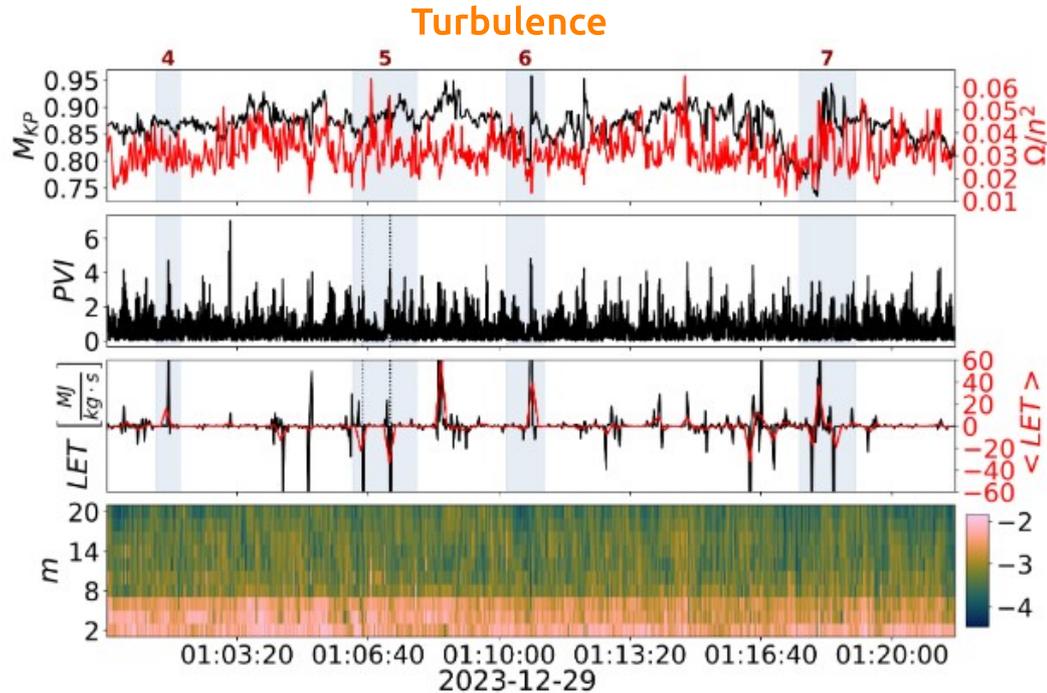


Hammerhead \perp anisotropy



- Qualitative agreement, in both cases, with Servidio's prediction in the magnetized case ($\sim m^{-2}$);
- More developed spectra in the turbulent case as a result of the cascade process.





$$s_\alpha(\mathbf{r}, t) = -k_B \int d^3v f_\alpha(\mathbf{r}, \mathbf{v}, t) \log f_\alpha(\mathbf{r}, \mathbf{v}, t)$$

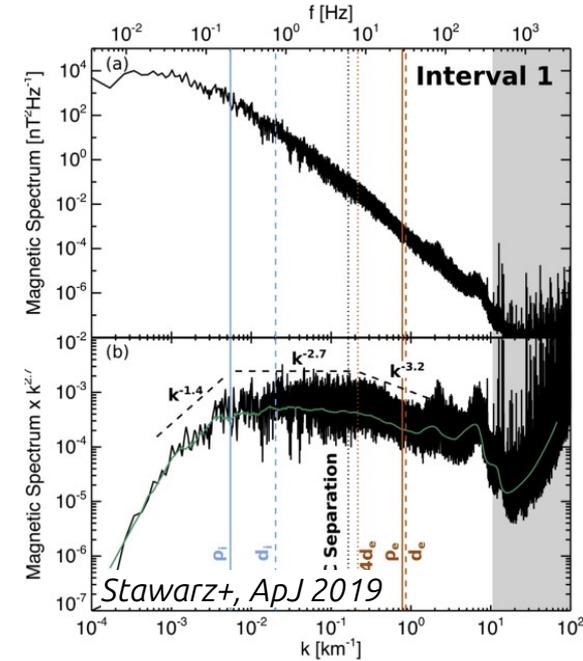
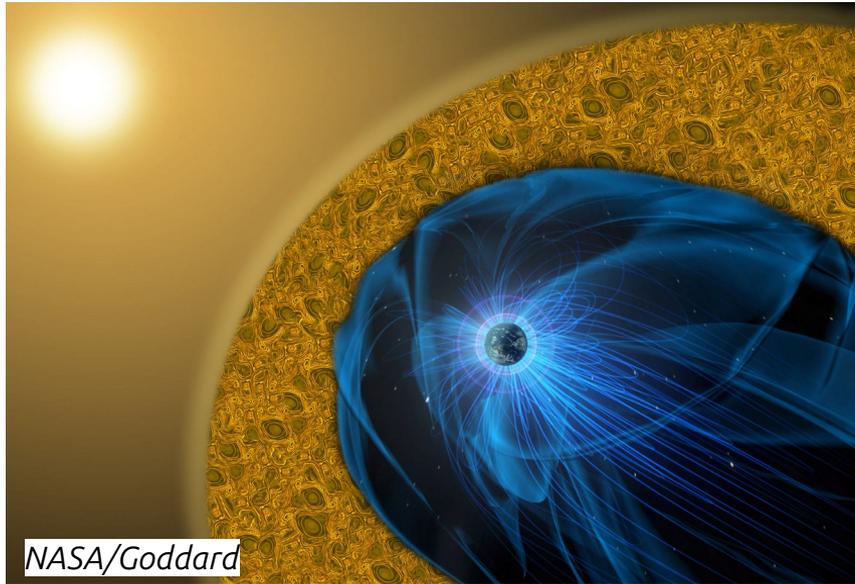
$$\bar{M}_{\text{KP}, \alpha}(\mathbf{r}, t) = \frac{s_{M, \alpha}(\mathbf{r}, t) - s_\alpha(\mathbf{r}, t)}{(3/2)k_B n_\alpha(\mathbf{r}, t)}$$

$$\text{PVI}_{s, \tau} = \frac{|\Delta \mathbf{B}(s, \tau)|}{\sqrt{\langle |\Delta \mathbf{B}(s, \tau)|^2 \rangle}} \quad \Delta \mathbf{B}(s, \tau) = \mathbf{B}(s + \tau) - \mathbf{B}(s)$$

$$\epsilon_{p, \ell} = \left(|\Delta \mathbf{u}_p|^2 + |\Delta \mathbf{b}|^2 \right) \frac{\Delta u_{p, \ell}}{\ell} - 2(\Delta \mathbf{u}_p \cdot \Delta \mathbf{b}) \frac{\Delta b_\ell}{\ell}$$

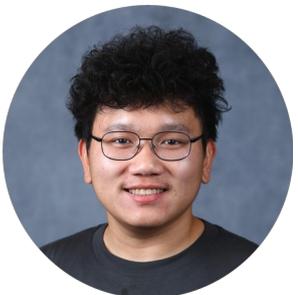
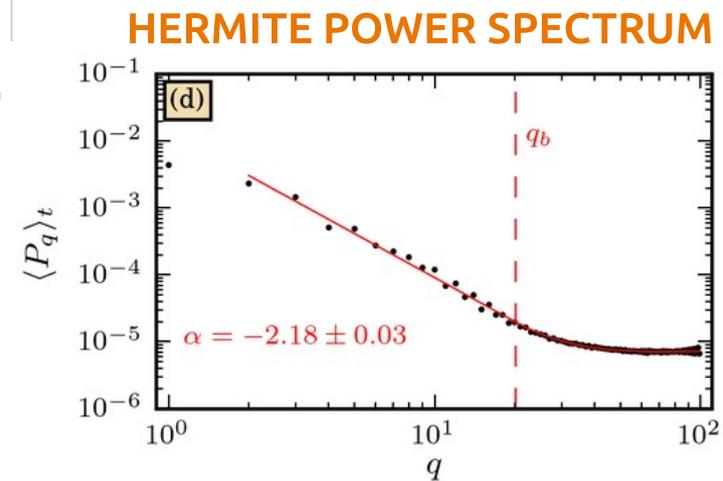
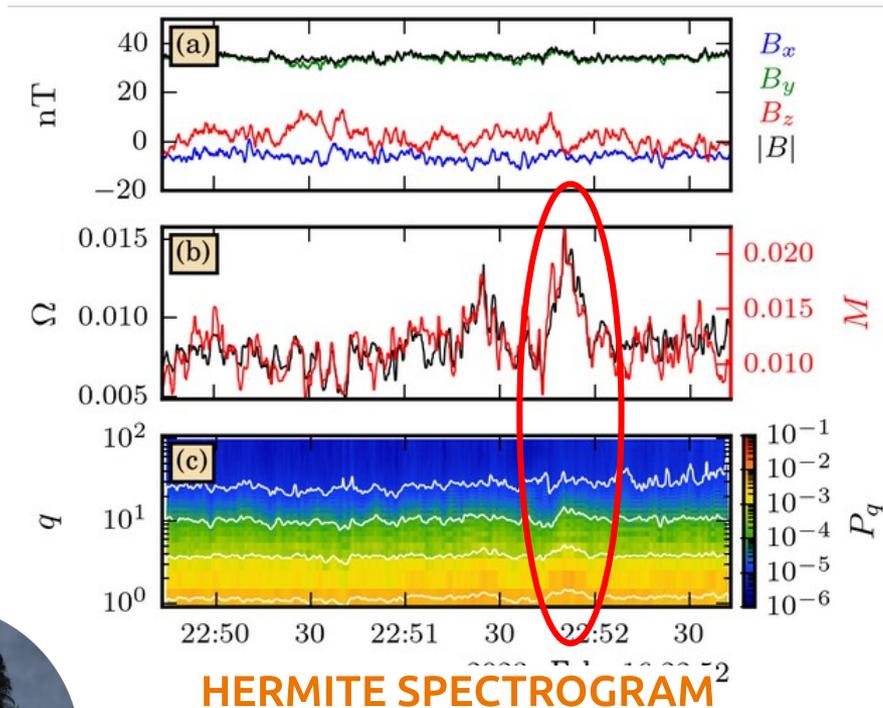
- Qualitative correlation between non-Maxwellian diagnostics (e.g., M_{KP}), the local-energy transfer (LET) rate measuring the available turbulent energy at ion scales, and pronounced Hermite spectra (elongation with m in the Hermite spectrogram).
- Hermite spectrogram is generally intermittent (Pezzi+, SSR 2021).

The magnetosheath is the bounded plasma (high β) between the bow shock and the magnetopause. It is turbulent but, due to the boundaries and the solar wind pushing, the inertial range is usually rather small.



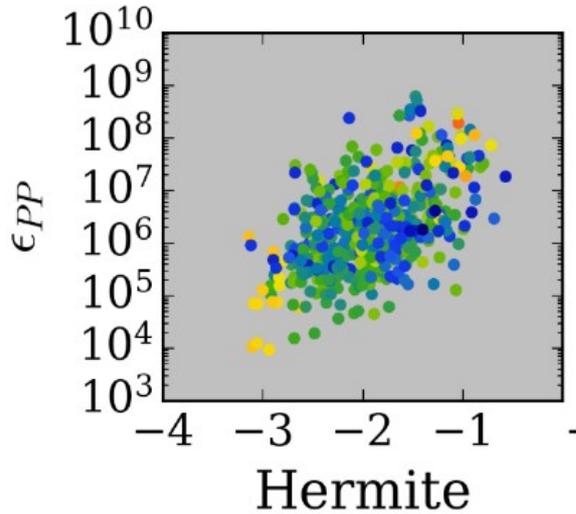
Tetrahedron of four spacecraft allowing to measure wavevector at one scale at unprecedented resolution
Main goal: **magnetic reconnection** up to smallest electron scales.



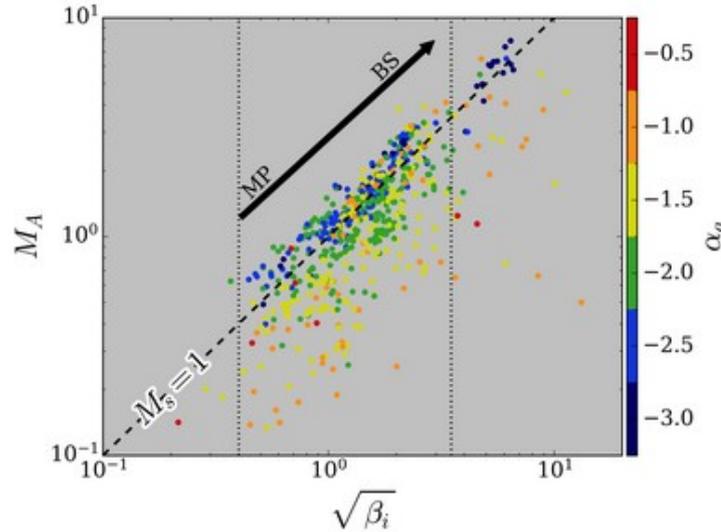


The Earth's magnetosheath: phase-space turbulence

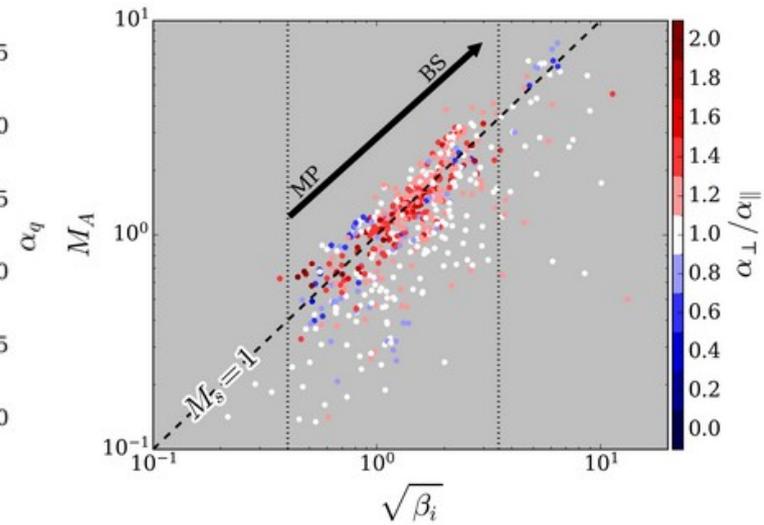
We are performing a deep statistical analysis of phase-space turbulence in the Earth's magnetosheath exploiting the unbiased campaign by MMS.



HERMITE SPECTRAL SLOPE



HERMITE SPECTRAL ANISOTROPY



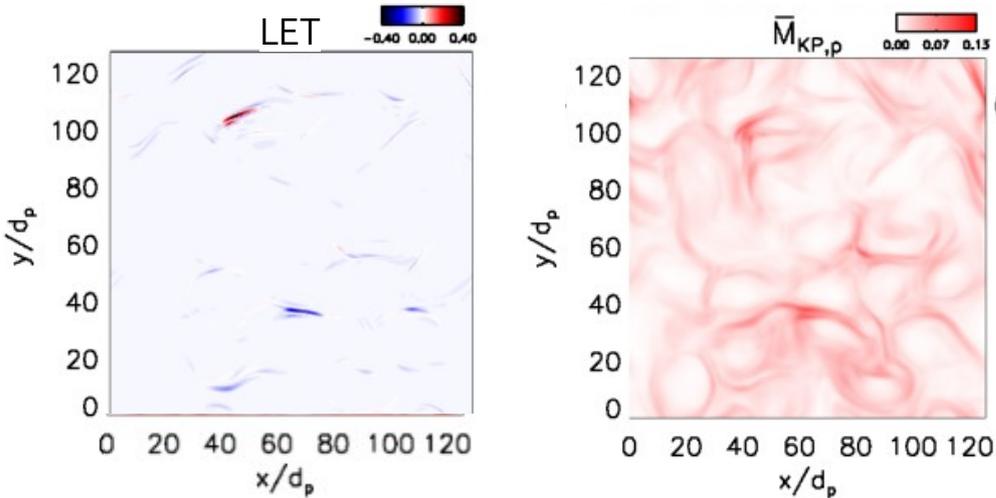
Vo, Pezzi, + in prep 2026

- Excellent correlation between the turbulent energy estimated with the Politano Pouquet law and the Hermite spectral slope!
- The sonic Mach number is regulating the spectral slope and the anisotropy:
 - ✓ $M_s < 1$: -3/2 slope – NO spectral anisotropy (Servidio+, PRL 2017)
 - ✓ $M_s > 1$: -2 (Pezzi+, PoP 2018) and -5/2 (Schekochihin+, JPP 2016) slopes + anisotropy
 - ✓ No evidence of the -1/2 slope (Schekochihin+, JPP 2016)

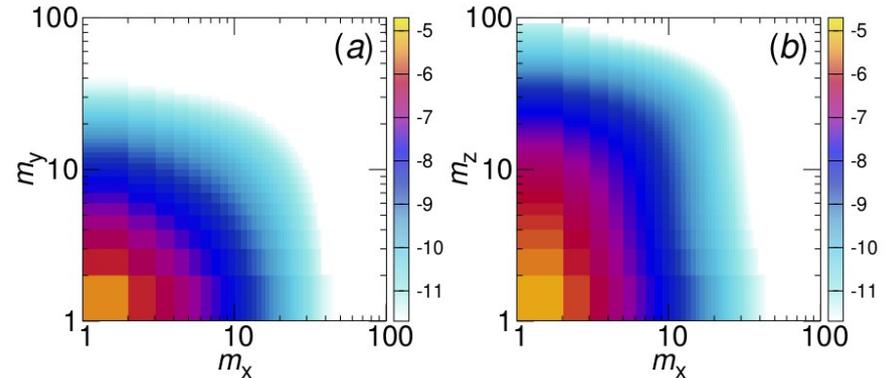
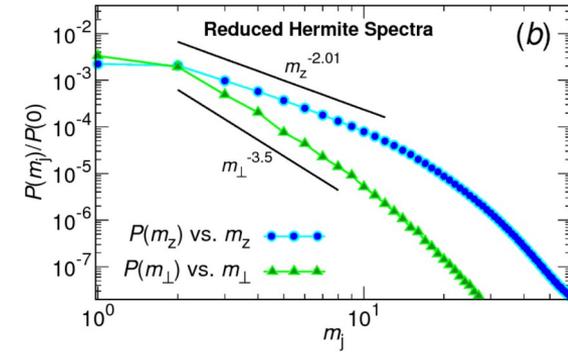
What about simulations?

Simulations are important to complement in-situ observations and investigate the dynamics of other species:

- **Hybrid Vlasov-Maxwell (HVM) code** (Valentini+, JCP 2007) for ions: protons and alpha particles, He^{++}



Non-Maxwellianity, correlated with LET, is intense in regions of intense magnetic stresses:
Intermittent energy conversion/dissipation!

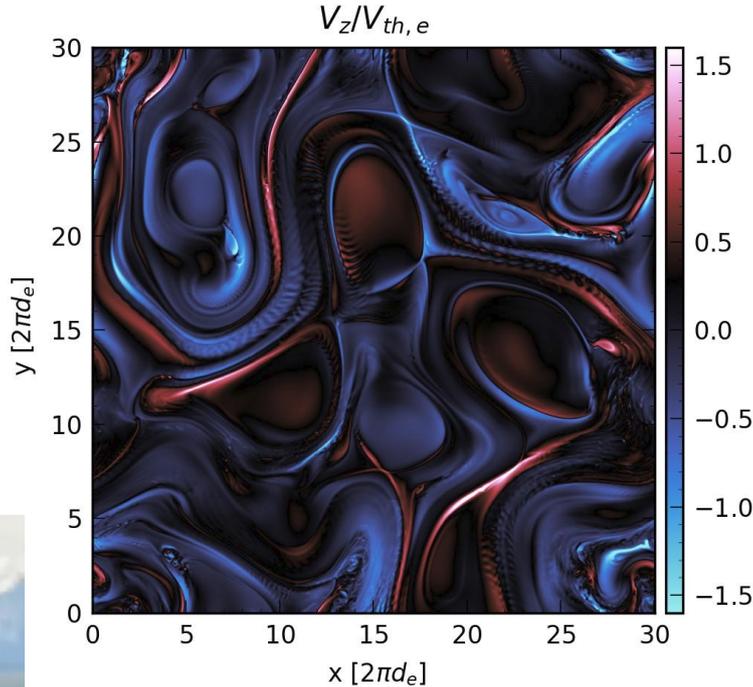


Anisotropic Hermite cascade, preferential along the background magnetic field.

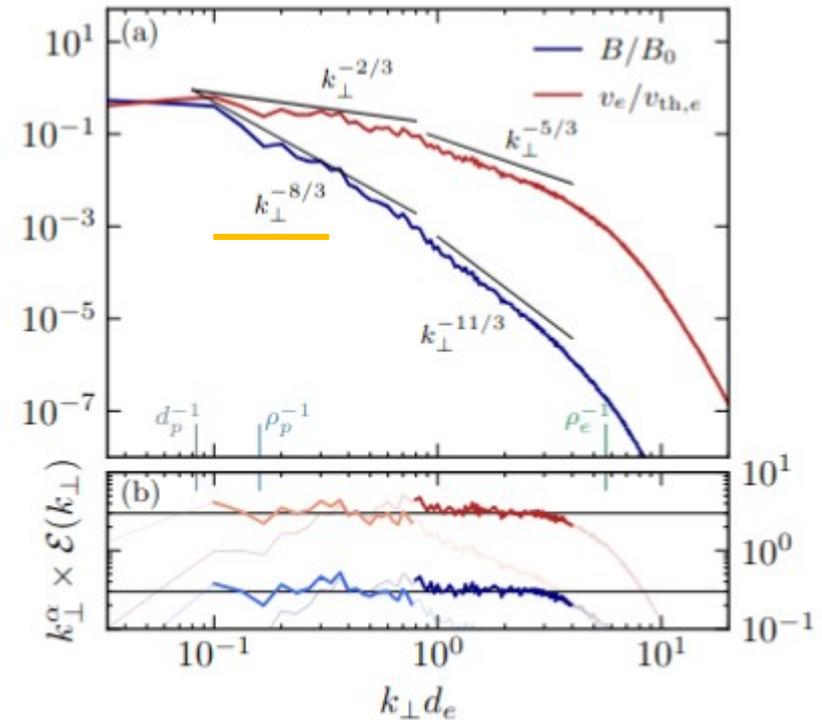
What about simulations?

Simulations are important to complement in-situ observations and investigate the dynamics of other species:

- **Fully-kinetic Vlasov-Darwin (ViDA) code** (Pezzi+, JPP 2019) for electrons



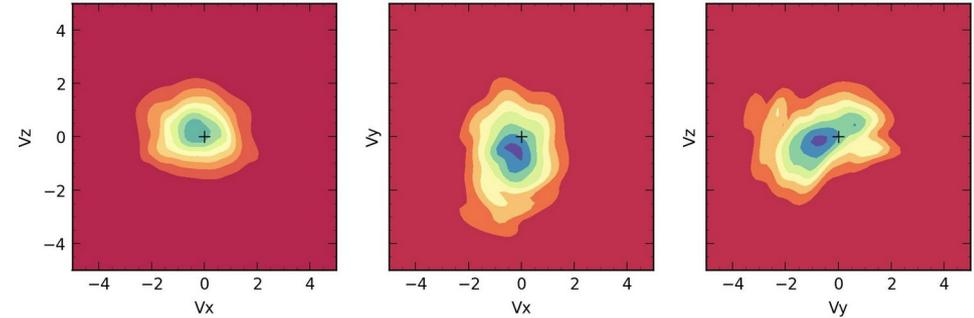
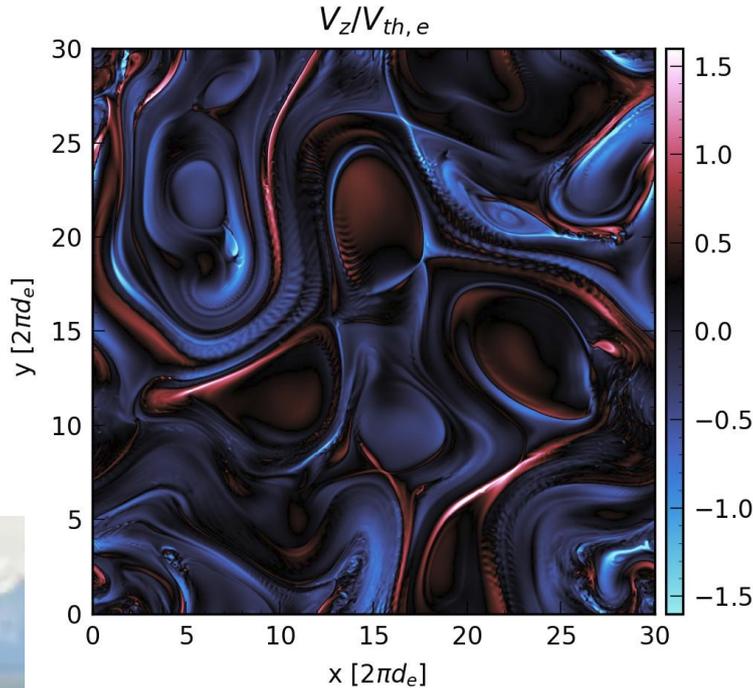
KAW turbulence *Chen&Boldyrev, 2017*



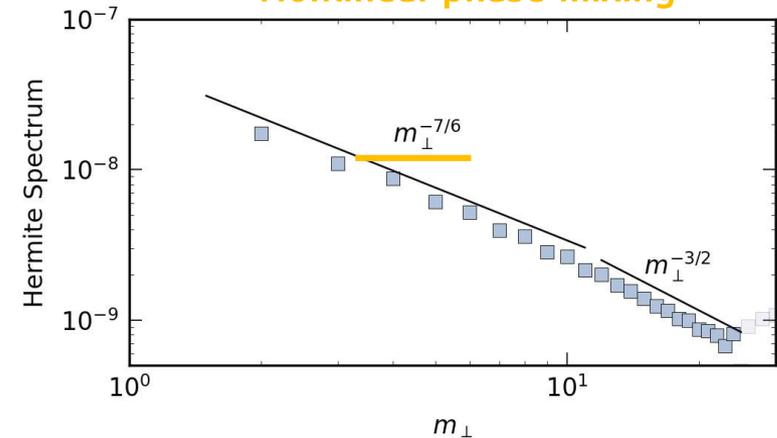
What about simulations?

Simulations are important to complement in-situ observations and investigate the dynamics of other species:

- **Fully-kinetic Vlasov-Darwin (ViDA) code** (Pezzi+, JPP 2019) for electrons



Nonlinear phase-mixing

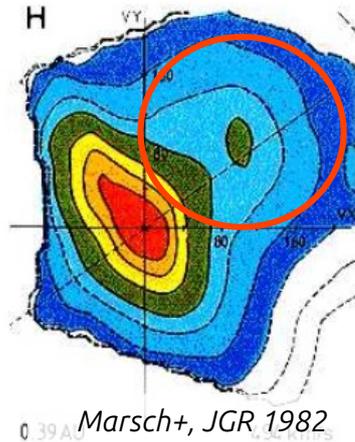


- **Plasmas**, often very far from LTE, are ubiquitous in the Universe and rather accessible in the heliosphere.
- Through their study we can investigate fundamental physical processes, such as **turbulence** and **non-equilibrium statistical mechanics**
- **Turbulence evolves in the entire phase space:**
 - **Anisotropy:** spectra are more developed in the direction of the background magnetic field
 - **Intermittency:**
- **In-situ spacecraft observations and Eulerian Vlasov-Maxwell simulations** enable addressing the questions:
 - *How does phase-space complexity change as overall parameters vary (β , $\delta B/B_0$) and for diverse species?*
 - *How does phase-space complexity change in different systems (expanding solar wind vs bounded magnetosheath)?*
- **Future questions:**
 - *How different irreversible effects contribute to irreversible dissipation?*
 - *Are large-scale plasma dynamics, energy budget, and transport properties influenced by phase-space complexity?*

“PhAse-sPAce cOMplexity in turbulent nearly-reversible plasmas (PAPAO)”

- Funding (Oct 2025-Oct 2028):
- **2 temporary researchers** under selection
- **3 2-year post-docs** (call in March/April 2026)
- Site: **Rome**

A key question in weakly-collisional (nearly-reversible) space and astrophysical plasmas concerns the **mechanisms regulating the transition to irreversible dynamics and dissipation.**



a) Thermal energy conversion mechanisms: not enough!

It fails in describing phase-space complexity.

b) Non-LTE conversion leading to non-equilibrium VDFs: not enough!

The energy (information) stored in non-LTE features of the plasma VDF is a form of ordered energy, e.g., they can lead to secondary electromagnetic instabilities.

c) Irreversible dissipation:

I. Long-range inter-particle collisions (Schekochihin PPCF 2008, Pezzi+, PRL 2016)

II. "Collisionless" effective collisionality (Arzamasskiy+, PRX 2023, Bandyopadhyay+, PoP 2023, Richard,...Pezzi, PRE 2025)

III. Dissipative anomalies (Eyink, PRX 2018)

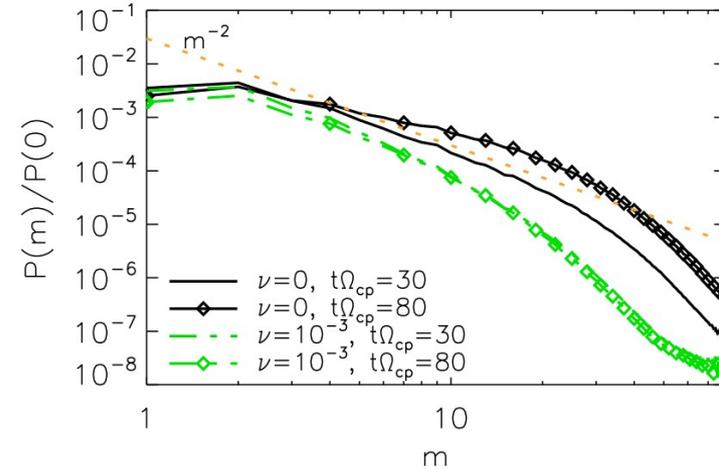
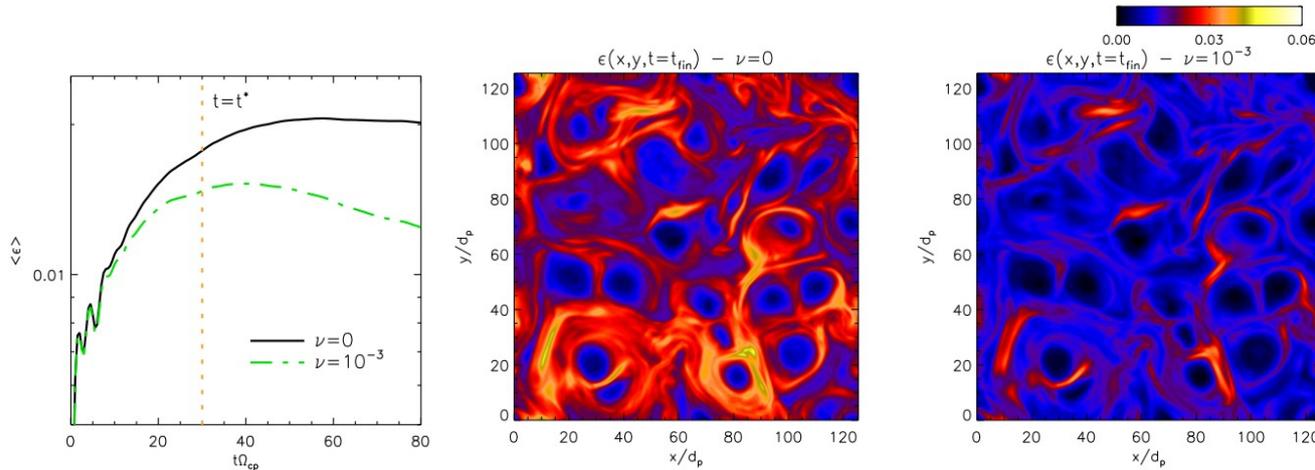
IV. "Complex-systems-inspired" methods (Garrido+, PRL 2004, Kanekar+, JPP 2015, Yao et al., JFM 2023, Stumpo,..., Pezzi et al., ApJL 2023)

Tackling the non-equilibrium statistical mechanics and thermodynamics of turbulent nearly-reversible plasmas!

Extra: Collisional enhancement in non-LTE plasmas

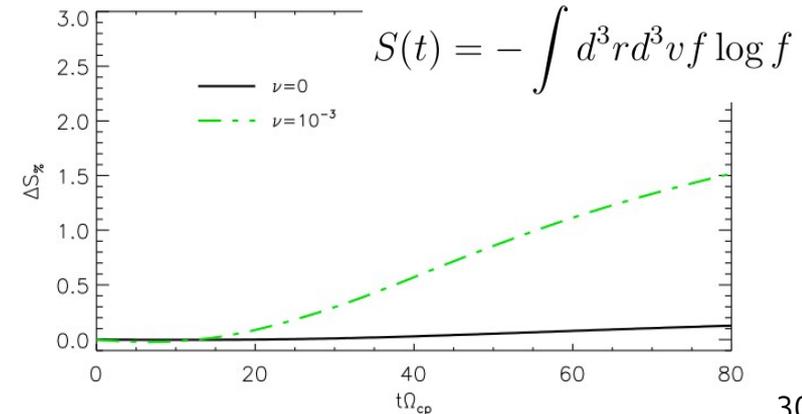
We explored this scenario by means of hybrid Boltzmann-Maxwell simulations explicitly taking into account inter-particle collisions.

$$\varepsilon(x, y, t) = \frac{1}{n} \sqrt{\int [f(\mathbf{x}, \mathbf{u}, t) - f_M(\mathbf{x}, \mathbf{u}, t)]^2 d^3\mathbf{u}}$$



Collisions prevent the formation of non-LTE features in the plasma distribution function, dissipating faster smaller scale non-LTE features.

The development of phase-space turbulence is hence inhibited, causing an irreversible increase of Boltzmann-Gibbs entropy.



$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f + \frac{e}{m} \left[\mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c} \right] \cdot \nabla_v f = 0$$

$$\mathbf{E} = -\frac{\mathbf{u} \times \mathbf{B}}{c} + \frac{1}{nec} (\mathbf{j} \times \mathbf{B}) - \frac{1}{ne} \nabla P_e$$

$$\nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}$$

$$\nabla \times \mathbf{B} = \frac{4\pi \mathbf{j}}{c}$$

$$n_e \simeq n_i \simeq n$$

$$P_e = P_e(n)$$

Valentini+, JCP 2007

- **Low-frequency approximation** of the Maxwell system:
 - ✓ Displacement current is neglected
 - ✓ Quasi-neutrality is assumed
- The proton Vlasov equation is solved numerically while electrons are a massless fluid: useful to describe up to **sub-proton scales**.
- **Eulerian method:**
 - The Vlasov equation is integrated in the whole phase-space: **six-dimensional (3D-3V)** grid!
 - **Noiseless** description of the plasma dynamics but **more computational demanding** with respect to Lagrangian (PIC) methods:
 - ... 3D-3V DF ~ 10 Terabytes
- Massively parallelized strategy:
 - i) Domain decomposition with MPI;
 - ii) OpenMP and/or GPU computing on each node

Vlasov

$$\partial_t f_\alpha + \mathbf{v} \cdot \nabla f_\alpha + \frac{q_\alpha}{m_\alpha} \left(\mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right) \cdot \nabla_{\mathbf{v}} f_\alpha = 0, \quad \alpha = p, e$$

$$\mathbf{E} = \mathbf{E}_L + \mathbf{E}_T \quad \nabla \times \mathbf{E}_L = 0, \quad \nabla \cdot \mathbf{E}_T = 0$$

Maxwell

$$\nabla \cdot \mathbf{E}_L = 4\pi \rho_c \quad \nabla \times \mathbf{E}_T = -\frac{1}{c} \partial_t \mathbf{B}$$

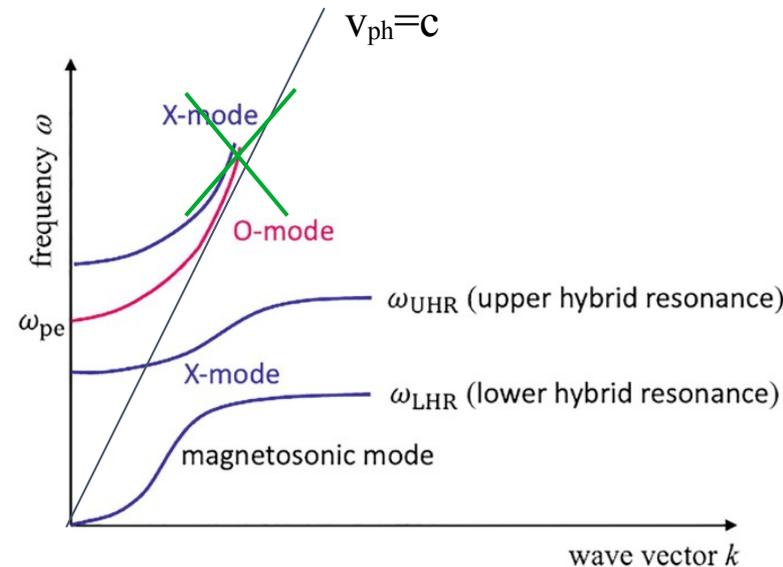
$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \mathbf{j} + \frac{1}{c} \partial_t \mathbf{E}_L + \frac{1}{c} \partial_t \mathbf{E}_T$$

$$\rho_c = \sum q_\alpha \int f_\alpha(\mathbf{v}) d\mathbf{v} \quad \mathbf{j} = \sum q_\alpha \int f_\alpha(\mathbf{v}) \mathbf{v} d\mathbf{v}$$

- Massively-parallelized (**CPUs and GPUs**) Eulerian fully-kinetic code
- The **3D-3V** plasma DF $f(\mathbf{r}, \mathbf{v})$ is directly discretized on grid
- **Pro:** Detailed and clean description up to small scales and in velocity space
- **Cons:** computationally demanding and generally small box wrt PIC

Darwin approximation. The transverse displacement current in the Ampere law is neglected. The propagation of light waves is inhibited, while all other modes are properly retained!

Pezzi et al., JPP 2019

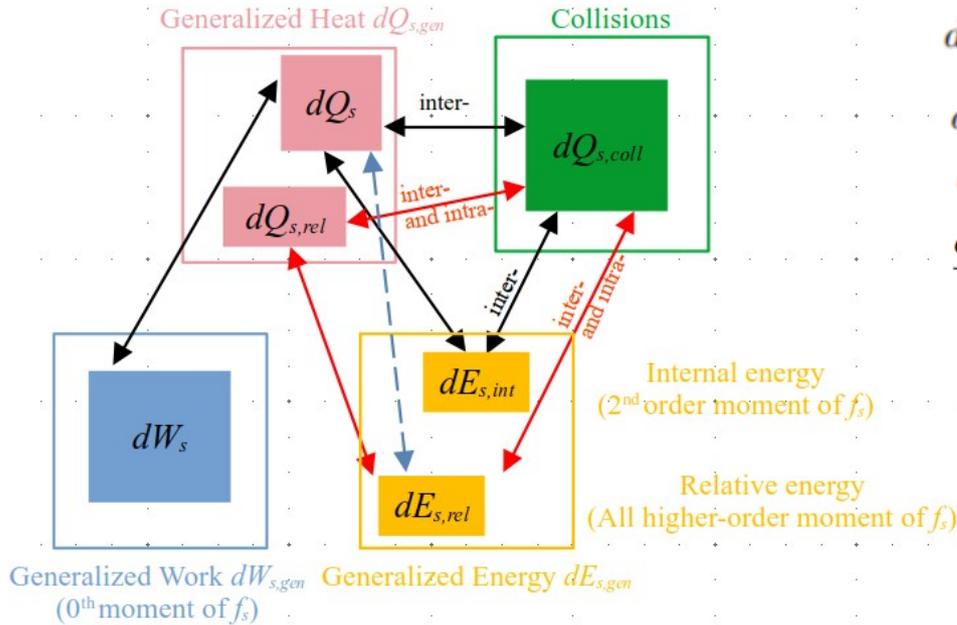


ViDA simulations can be a powerful tool to complement PIC methods!

Extra: Energy conversion to non-LTE VDFs moments

The first law of kinetic theory highlights energy conversion to non-thermal (>2) moments of the plasma VDFs. Channels highlighted with the red arrows “emerge” in non-LTE plasmas.

$$\frac{dW_s}{dt} + \frac{dE_{s,gen}}{dt} = \frac{dQ_{s,gen}}{dt} + \dot{Q}_{s,coll}$$



$$dW_s = P_s d(1/n_s)$$

$$dE_{s,gen} = dE_{s,int} + dE_{s,rel}$$

$$dQ_{s,gen} = dQ_s + dQ_{s,rel}$$

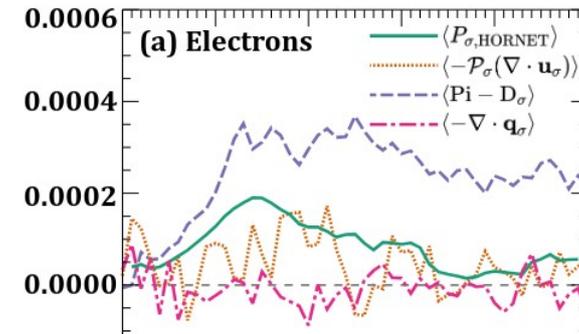
$$dE_{s,int} = 3/2 k_B dT_s$$

$$dE_{s,rel} = T_s d(s_{s,rel}/n_s)$$

$$\frac{dQ_{s,rel}}{dt} = \frac{k_B T_s}{n_s} \int [\nabla \cdot ((\mathbf{v} - \mathbf{u}_s) f_s)] \ln \left(\frac{f_s}{f_{s,M}} \right) d^3 v$$

Relative entropy density

$$s_{s,rel} = -k_B \int d^3 v f_s \ln \left(\frac{f_s}{f_{M,s}} \right)$$



$$P_{s, HORNET} = -n_s \frac{dE_{s,rel}}{dt} = -n_s T_s \frac{d}{dt} \left(\frac{s_{s,rel}}{n_s} \right)$$

Cassak+, PRL 2023

Barbhuiya+, PRE 2025

Extra: Dissipation diagnostics

Several diagnostics have been implemented to identify kinetic-scale energy conversion and dissipation in weakly-collisional plasmas. We categorize them in: **energy-based** and **VDF-based** parameters

OP+, MNRAS 2021

Energy-based diagnostics: energy transfers between bulk, thermal and magnetic energy

$$\frac{\partial \mathcal{E}_\alpha^f}{\partial t} + \nabla \cdot (\mathbf{u}_\alpha \mathcal{E}_\alpha^f + \mathbf{u}_\alpha \cdot \mathbf{P}_\alpha) = (\mathbf{P}_\alpha \cdot \nabla) \cdot \mathbf{u}_\alpha + n_\alpha q_\alpha \mathbf{u}_\alpha \cdot \mathbf{E}$$

$$\frac{\partial \mathcal{E}_\alpha^{th}}{\partial t} + \nabla \cdot (\mathbf{u}_\alpha \mathcal{E}_\alpha^{th} + \mathbf{h}_\alpha) = -(\mathbf{P}_\alpha \cdot \nabla) \cdot \mathbf{u}_\alpha$$

$$\frac{\partial \mathcal{E}^m}{\partial t} + \frac{c}{4\pi} \nabla \cdot (\mathbf{E} \times \mathbf{B}) = -\mathbf{j} \cdot \mathbf{E}$$

Work done by EM field

(Zenitani+, PRL 2011; Wan+, PRL 2015)

$$D_\alpha = \mathbf{j}' \cdot \mathbf{E}' = \mathbf{j} \cdot \left(\mathbf{E} + \frac{\mathbf{u}_\alpha}{c} \times \mathbf{B} \right) - \rho_c (\mathbf{u}_\alpha \cdot \mathbf{E})$$

Local Energy Transfer (LET) rate

(Sorriso-Valvo, ..., OP+, JPP 2018, PRL 2019)

$$\epsilon_{p,\ell} = \left(|\Delta \mathbf{u}_p|^2 + |\Delta \mathbf{b}|^2 \right) \frac{\Delta u_{p,\ell}}{\ell} - 2(\Delta \mathbf{u}_p \cdot \Delta \mathbf{b}) \frac{\Delta b_\ell}{\ell} - \frac{d_p}{2} |\Delta \mathbf{b}|^2 \frac{\Delta j_\ell}{\ell} + d_p (\Delta \mathbf{b} \cdot \Delta \mathbf{j}) \frac{\Delta b_\ell}{\ell}$$

Pressure-strain interaction (Pi-D and P- θ)

(Yang+, PRE 2017, POP 2018, MNRAS 2020; OP+, POP 2019)

$$-(\mathbf{P}_\alpha \cdot \nabla) \cdot \mathbf{u}_\alpha = -P_\alpha \theta_\alpha - \mathbf{\Pi}_\alpha : \mathcal{D}_\alpha$$

Extra: Dissipation diagnostics

Several diagnostics have been implemented to identify kinetic-scale energy conversion and dissipation in weakly-collisional plasmas. We categorize them in: **energy-based** and **VDF-based** parameters

OP+, *MNRAS* 2021

VDF-based diagnostics: non-equilibrium features in the particle VDF

Pressure agyrotropy

(Scudder+, *POP* 2008; Aunai+, *POP* 2013;
Swisdak+, *GRL*, 2016)

$$Q_\alpha = \frac{P_{\alpha,12}^2 + P_{\alpha,13}^2 + P_{\alpha,23}^2}{P_{\alpha,\perp}^2 + 2P_{\alpha,\perp}P_{\alpha,\parallel}}. \quad \mathbf{P}_\alpha = \begin{pmatrix} P_{\alpha,\perp} & P_{\alpha,12} & P_{\alpha,13} \\ P_{\alpha,12} & P_{\alpha,\perp} & P_{\alpha,23} \\ P_{\alpha,13} & P_{\alpha,23} & P_{\alpha,\parallel} \end{pmatrix}$$

Non-Maxwellianity parameter ξ

(Greco+, *PRE*, 2012; **OP+**, *JPP* 2017, *POP* 2018)

$$\xi_\alpha(\mathbf{r}, t) = \frac{v_{th,\alpha}^{3/2}(\mathbf{r}, t)}{n_\alpha(\mathbf{r}, t)} \sqrt{\int d^3v [f_\alpha(\mathbf{r}, \mathbf{v}, t) - g_\alpha(\mathbf{r}, \mathbf{v}, t)]^2}.$$

g is the Maxwellian associated with the local VDF f

Entropy density-based parameters

(Kaufmann+, *JGR* 2009; Liang, ..., **OP+**, *JPP* 2020)

$$\bar{M}_{\text{KP},\alpha}(\mathbf{r}, t) = \frac{s_{\text{M},\alpha}(\mathbf{r}, t) - s_\alpha(\mathbf{r}, t)}{(3/2)k_B n_\alpha(\mathbf{r}, t)}$$
$$\bar{M}_\alpha(\mathbf{r}, t) = \frac{\bar{M}_{\text{KP},\alpha}(\mathbf{r}, t)}{1 + \log\left(\frac{2\pi k_B T_\alpha}{m_\alpha (\Delta^3 v)^{2/3}}\right)}$$

$$s_\alpha(\mathbf{r}, t) = -k_B \int d^3v f_\alpha(\mathbf{r}, \mathbf{v}, t) \log f_\alpha(\mathbf{r}, \mathbf{v}, t) \quad s_{\text{M},\alpha} = \frac{3}{2} k_B n_\alpha \left[1 + \log \frac{2\pi k_B T_\alpha}{m_\alpha n_\alpha^{2/3}} \right]$$